



-10-3

CPA/3624  
#

Atty. Dkt. No. 027756-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arthur Willard CHAFFEE  
Title: INVESTMENT PORTFOLIO  
TRACKING SYSTEM AND  
METHOD  
Appl. No.: 09/410,825  
Filing Date: 10/01/1999  
CPA Filed: 03/06/2003  
Examiner: N. SUBRAMANIAN  
Art Unit: 3624

|  |                   |
|--|-------------------|
| <b>CERTIFICATE OF EXPRESS MAILING</b>  |                   |
| I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, Washington, D.C. 20231. |                   |
| EU 060 044 848   | 6 March 2003      |
| (Express Mail Label Number)  | (Date of Deposit) |
| Ronald Caslick   |                   |
| (Printed Name)   |                   |
| Ronald Caslick   |                   |
| (Signature)  |                   |

#15  
3/18/03

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MAR 13 2003

GROUP 3600

CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL

Commissioner for Patents  
Box CPA  
Washington, D.C. 20231

Sir:

This is a request under 37 C.F.R. § 1.53(d), Continued Prosecution Application (CPA), for a:

☐ Continuation

☒ Divisional

application of the above-identified prior nonprovisional application of:

Arthur W. Chaffee

in which no payment of issue fee, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this CPA.

☒ Applicant claims small entity status under 37 CFR 1.27.

☒ Please enter claims 71 - 151 submitted in applicant's reply dated 27 November 2002.

☒ Applicant elects claims 71 - 151 for examination.

CPA/2003 HDAATE1 00000038 09410825

CPA/2003  
CPA/2002

375.00 OP  
549.00 OP

## Enclosed are:

- ☐ Small Entity statement.
- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of \_\_\_ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

|  | Claims<br>as<br>Amended | Previously<br>Paid For | Extra<br>Claims   | Rate      | Fee<br>Totals |
|--|-------------------------|------------------------|-------------------|-----------|---------------|
| Basic Fee  |                         |                        |                   | \$750.00  | \$750.00      |
| Total Claims:  | 81                      | - 20                   | = 61              | x \$18.00 | = \$1098.00   |
| Independents:  | 3                       | - 3                    | = 0               | x \$84.00 | = \$0.00      |
| If any Multiple Dependent Claim(s) present:  |                         |                        | + \$280.00        | =         | \$0.00        |
|  |                         |                        | SUBTOTAL:         | =         | \$1848.00     |
| <input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above): |                         |                        |                   | =         | \$924.00      |
|  |                         |                        | TOTAL FILING FEE: | =         | \$924.00      |

- ☐ Applicant requests suspension of action under 37 CFR 1.103(b) (fee under 37 CFR 1.17(i) enclosed).
- ☒ A check in the amount of \$924.00 to cover the filing fee is enclosed.
- ☐ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date: March 6, 2003

By 

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